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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

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Name of Applicant, Assignee or  
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Signature

9/25/02

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OCT 04 2002  
GROUP 3600

Our Case No.: 10098/6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert L. Tritt et al.

Serial No.: 09/475,637

Filing Date: December 30, 1999

For: **SIMULTANEOUS REAL-TIME  
ACCESS TO FINANCIAL  
INFORMATION**

Examiner: Andrew J. Rudy

Group Art Unit No.: 3627

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

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Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
3,017,103	01/16/62	Goldberg et al.
4,953,085	08/28/90	Atkins
5,679,940	10/21/97	Templeton et al.
5,864,828	01/26/99	Atkins
5,987,439	11/16/99	Gustin et al.
6,119,096	09/12/00	Mann et al.
6,212,529	04/03/01	Boothby et al.

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97(c)(2) this First Supplemental Information Disclosure Statement is being filed after the receipt of the first office action on the merits but before the mailing of any final action under 37 C.F.R. § 1.113 along with the fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



James L. Katz  
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